

1 Age-dependent immune response to the 2 Biontech/Pfizer BNT162b2 COVID-19 3 vaccination

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16 Abstract

17 18 Background:

19 The SARS-CoV-2 pandemic has led to the development of various vaccines. Real-life
20 data on immune responses elicited in the most vulnerable group of vaccinees over 80
21 years old is still underrepresented despite the prioritization of the elderly in vaccination
22 campaigns.

23 Methods:

24 We conducted a cohort study with two age groups, young vaccinees below the age of
25 60 and elderly vaccinees over the age of 80, to compare their antibody responses to
26 the first and second dose of the BNT162b2 COVID-19 vaccination.

27 **NOTE:** This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.
Results:

28 While the majority of participants in both groups produced specific IgG antibody titers
29 against SARS-CoV-2 spike protein, titers were significantly lower in elderly
30 participants. Although the increment of antibody levels after the second immunization
31 was higher in elderly participants, the absolute mean titer of this group remained lower
32 than the <60 group. After the second vaccination, 31.3 % of the elderly had no
33 detectable neutralizing antibodies in contrast to the younger group, in which only 2.2%
34 had no detectable neutralizing antibodies.

35 Conclusion:

36 Our data suggests that lower frequencies of neutralizing antibodies after BNT162b2
37 vaccination in the elderly population may require earlier revaccination to ensure strong
38 immunity and protection against infection.

39

40 Introduction

41

42 In December 2019, authorities in China's Wuhan province reported a lung disease of
43 unknown cause. Back in January 2020, the sequence of a novel coronavirus was
44 published and identified as the causative agent of this disease [1]. In March of the
45 same year, the World Health Organization (WHO) declared the spread of this virus a
46 public health emergency of international concern. With limited drug treatment options
47 available, research on prophylactic immunization, especially for high-risk groups,
48 became a priority [2].

49 The zoonotic beta-coronavirus SARS-CoV-2 is closely related to severe acute
50 respiratory syndrome coronavirus (SARS-CoV) and Middle East respiratory syndrome
51 coronavirus (MERS-CoV), which caused outbreaks in 2002/2003 and 2012
52 respectively [3]. SARS-CoV-2 and its associated disease COVID-19, however, show
53 distinct characteristics [4] including a highly variable severity of clinical symptoms, from
54 asymptomatic infection to severe COVID-19 with lung manifestation and acute
55 respiratory distress syndrome. Viral replication usually begins and continues in the
56 upper respiratory tract for up to 14 days after the onset of symptoms, which contributes
57 to the rapid spread of the virus. While the clinical course of COVID-19 is usually quite
58 mild and often presents with flu-like symptoms, up to 14% of patients show a severe
59 course of infection [5]. The elderly population is primarily at risk for severe disease, as

60 adults over 65 years of age account for approximately 80% of hospitalizations [6, 7]
61 and higher death rates have been reproducibly reported in this population [8, 9].
62 Additionally, prolonged disease from hospitalization, delayed viral clearance, and/or a
63 higher fatality rate is also reported to be age-related [9]. Comorbidities such as
64 cardiovascular disease, diabetes, and obesity are discussed as the primary cause of
65 a more severe COVID-19 course, however, these comorbidities alone do not explain
66 why age is such a strong risk factor.

67 Aging is accompanied by changes in the immune system, particularly affecting
68 adaptive immunity's three fundamental pillars, i.e. B cells, CD4+ T cells, and CD8+ T
69 cells [10]. Although hallmarks of immunosenescence depend on multifaceted factors
70 and vary greatly between individuals, they are generally considered to be related to i)
71 the decreased ability to respond to new antigens associated with a reduced peripheral
72 plasmablast response; (ii) decreased capacity of memory T cells and (iii) a low level of
73 persistent chronic inflammation [11-14]. This leads to declining immune efficiency and
74 fidelity, resulting in increased susceptibility to infectious diseases and decreased
75 response to vaccinations. Additionally, it contributes to increased susceptibility to age-
76 related pathological conditions including cardiovascular diseases or autoreactive
77 diseases such as rheumatoid arthritis [12, 15].

78 In December 2020, the first vaccines for COVID-19 were approved worldwide and the
79 first vaccinations were carried out [16-19]. While the German Standing Committee on
80 Vaccination (STIKO) recommends immunization against SARS-CoV-2, access to the
81 vaccine in Germany and many other countries worldwide at the beginning of 2021 is
82 offered in a prioritization procedure due to limited availability. First, groups of people
83 who are at particularly high risk for severe courses of COVID-19 disease or who are
84 professionally in close contact with such vulnerable people were vaccinated. These
85 two prioritized groups include senior residents of nursing homes aged ≥ 80 years, and
86 their caregivers typically aged ≤ 65 years. A recent, thorough study using mathematical
87 modeling to investigate vaccine prioritization strategies supports the preferential
88 vaccination of the elderly [20]. This study describes a scenario where cumulative
89 incidence rates were minimized when vaccination of the population aged 20-49 years
90 was prioritized, while mortality was decreased when the population aged 60 years or
91 older was prioritized. This model took age-structure, age-related efficacy, and
92 infection-fatality rates into account. They conclude that prioritizing the population aged

93 > 60 years, thus directly protecting the vulnerable population, would decrease mortality
94 rates, a strategy that is currently employed by various nations but without the support
95 of recent and thorough data [20].

96 The current vaccination strategy for the Biontech/Pfizer Comirnaty (BNT162b2) is a
97 two-step "prime and boost" procedure in which the first vaccination is followed by a
98 second vaccination with the same dose at least 21 days later [18]. Initial experience
99 shows high effectiveness of the vaccines in preventing clinical symptoms after the first
100 dose [21].

101 Immediately after the start of the official vaccination campaign in Germany at the end
102 of December 2020, we started a daily practice study in a retirement home. To
103 accommodate two clearly distinct populations in this study, we compared the induction
104 of immune responses between young and elderly vaccinees (< 60 years of age and >
105 80 years of age respectively) who received their first and second vaccines on the same
106 day. For this purpose, the IgG titers against SARS-CoV-2 spike S1 as well as
107 neutralization titers were determined after both the first and second vaccination. Self-
108 reported side effects were scored according to the sum of symptoms post-vaccination.

109

110 **Methods**

111 **Study population**

112

113 Characteristics of the study population are summarized in Table 1. The ethics
114 committee of the Medical Faculty at the Heinrich-Heine University Düsseldorf,
115 Germany (study no. 2021-1287), approved the study. Informed consent was obtained
116 from all volunteers (N = 179) before sampling. All blood samples were collected on
117 January 15th, 2021 (first collection, 17—19 days after first immunization) and February
118 5th, 2021 (second collection, 17 days after second immunization) and stored at 4 °C.

119 Medical questionnaires including the following categories were scored according to the
120 sum of symptoms post-vaccination: i) elevated temperature and fever, ii) chills, iii) pain
121 at the injection site, iv) head/limb pain, v) fatigue/tiredness, vi) nausea/dizziness, vii)
122 other complaints (unscored).

123

124 Commercially available Anti-SARS-CoV-2 tests systems

125

126 Samples were tested for Anti-SARS-CoV-2 antibodies using two commercially
127 available test systems: Euroimmun Anti-SARS-CoV-2-QuantiVac-ELISA measuring
128 IgG levels against SARS-CoV-2 spike S1 subunit and Abbott Architect SARS-CoV-2
129 IgG recognizing SARS-CoV-2 nucleocapsid (N) antibodies.

130 Euroimmun ELISA was performed on the Euroimmun Analyzer I-2P according to the
131 manufacturer's instructions. Results < 25.6 BAU/ml were considered as negative, \geq
132 25.6 BAU/ml ≤ 35.2 BAU/ml as indeterminate, and > 35.2 BAU/ml as positive (BAU =
133 Binding Antibody Units). The upper detection limit for undiluted samples was > 384
134 BAU/ml, the lower detection limit was < 3.2 BAU/ml. For samples over the detection
135 limit, 1:10 or 1:100 dilutions were performed in IgG sample buffer according to the
136 manufacturer's instruction. The SARS-CoV-2 IgG chemiluminescent microparticle
137 immunoassay (CMIA) from Abbott was performed on an ARCHITECT i2000 SR. The
138 relation of chemiluminescent RLU and the calibrator is given as the calculated index
139 (S/C). An index (S/C) < 1.4 as was considered negative, ≥ 1.4 was considered positive.

140

141 In-house SARS-CoV-2 neutralization test

142

143 A neutralization test with the infectious SARS-CoV-2 isolate (EPI_ISL_425126) was
144 performed in a BSL-3 facility to determine the SARS-CoV-2 neutralization capacity of
145 the serum samples after the first and second vaccination. A serial dilution endpoint
146 neutralization test for SARS-CoV-2 was performed as previously described [22]. Serial
147 dilutions of heat-inactivated (56°C , 30 minutes) serum samples were pre-incubated in
148 cell-free plates with 100 TCID₅₀ units of SARS-CoV-2 for 1 hour at 37°C . After pre-
149 incubation, 100 μl of cell suspension containing 7×10^4 /ml Vero cells (ATTC-CCL-81)
150 were added. Plates were incubated at 37°C , 5% CO₂ for 4 days before microscopic
151 inspection for virus-induced cytopathic effect (CPE). The neutralization titer was
152 determined as the highest serum dilution without CPE. Tests were performed in
153 duplicate for each sample. Positive, negative, virus only, and cell growth controls were
154 run during each assay.

155

156 Statistical analysis

157

158 The data were analyzed using SPSS Statistics 25 (IBM[®]) and GraphPad Prism 9.0.00
159 (GraphPad Software, San Diego, CA, USA). Categorical data were studied using
160 Fisher's exact test or Pearson's chi-square test, depending on the sample size.
161 Quantitative data were analyzed by the non-parametric Mann-Whitney U test for two
162 groups of paired and unpaired samples. Simple linear regression was performed using
163 GraphPad Prism version 9.0.0 (the coefficient of determination R^2 and p-values are
164 given in the figures).

165

166 Results

167

168 Participant characteristics

169

170 In total, blood samples from 176 volunteers, young and elderly vaccinees (<60 / >80
171 years of age) were analyzed for vaccine-induced SARS-CoV-2 spike specific IgG titers
172 and SARS-CoV-2 neutralizing antibodies after a prime and boost vaccination
173 campaign using BNT162b2 (Comirnaty BioNTech/Pfizer) to screen for age-related
174 differences in their immune response. Therefore, samples were collected at two time
175 points, 17—19 days after the first vaccination and 17 days after the second
176 vaccination. To be able to distinguish the immune response of the vaccinees from
177 those who had already undergone a previous SARS-CoV-2 infection we
178 also determined infection-induced SARS-CoV-2 nucleocapsid specific antibodies
179 using the SARS-CoV-2 IgG chemiluminescent microparticle immunoassay (CMIA).
180 Three vaccines were tested positive and therefore were excluded from the dataset.
181 While group sizes were comparable (93 participants <60 years of age
182 versus 83 participants >80 years of age), there was an overrepresentation of female
183 participants compared with males (124 female to 52 male) (Table 1).

184

185 Table 1: Characteristics of the study population

Characteristics	< 60 years of age (younger vaccinees)	> 80 years of age (elderly vaccinees)	Total
Total N (%)	91 (53%)	85 (47%)	176 (100%)

Gender

Male N (%)	29 (32%)	23 (27%)	52 (30%)
Female N (%)	62 (68%)	62 (73%)	124 (70%)

Mean years (min - max)

42.2 (19.5 - 59.5)	87.9 (80.1 - 100.5)
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188 Vaccination-induced SARS-CoV-2 spike specific IgG levels differ between young and
189 elderly vaccinees after the first and second vaccination

190

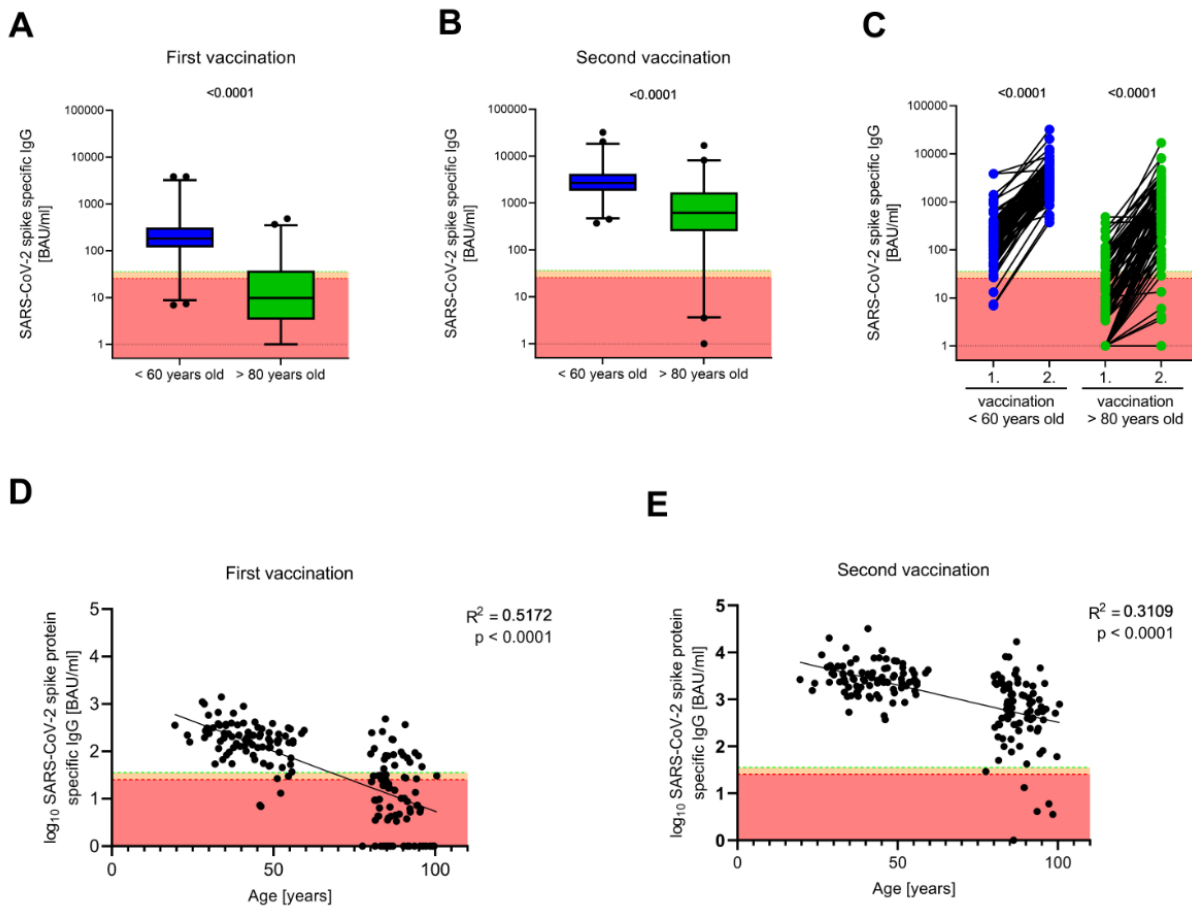
191 The first sample collection was carried out 17—19 days after the volunteers received
192 their first vaccination in late December 2020. At this timepoint, quantitative SARS-CoV-
193 2 spike S1 specific IgG levels between the two groups differed significantly ($p <$
194 0.0001). For the younger group of vaccinees, IgG titers ranged between 0—3840.0
195 BAU/ml with a mean of 313.3 BAU/ml after the first vaccination. Only 4.4 % of the
196 participants had titers below the cut-off, and 2.3% were indeterminate (Figure 1A). The
197 mean titer for the group > 80 years of age was 41.2 BAU/ml with titers ranging from
198 0—484.7 BAU/ml. In this group, 65.9% showed titers below the cut-off (>35.6), and
199 9.4% were indeterminate.

200 The second sample collection was carried out 17 days after the volunteers received
201 their second vaccination, at a time point when full protection is suggested (>7 days
202 according to [18]). Nevertheless, there was still a significant difference in IgG levels
203 between the two groups. The mean titer of the younger group increased more than 10-
204 fold (3702.0 BAU/ml) and ranged from 81.6—32000.0 with no participant testing below
205 cut-off (Figure 1B). While the mean titer for elderly vaccinees increased to 1332.0
206 BAU/ml (0—16891.0 BAU/ml), 10.6% of the participants in this group still had titers
207 below the cut-off.

208 The comparison of SARS-CoV-2 spike specific IgG titers showed an extremely
209 significant ($p < 0.001$) difference between the two age groups, after both the first and
210 second vaccination, suggesting an attenuated antibody response in the group of
211 elderly vaccinees > 80 years of age. While the gap in mean values narrowed after the
212 second vaccination, which in particular underlines once again the necessity of a
213 second vaccination, several elderly participants remained below the detection limit of
214 the anti-SARS-CoV-2 assay. A general age-dependent negative correlation in SARS-

215 CoV-2 spike specific IgG after both vaccinations is noticed throughout the entire cohort
 216 (Figure 1D/E).

217



218

219 **Figure 1**

220 SARS-CoV-2 spike protein specific antibody titers were determined using Euroimmun Anti-
 221 SARS-CoV-2-QuantiVac-ELISA. Antibody titers below the detection limit were set to 1.0. **A**
 222 and **B** Antibody titers 17—19 day after first (A) and second (B) vaccination are shown. Boxes
 223 span the interquartile range; the line within each box denotes the median and whiskers indicate
 224 the 2.5 and 97.5 percentile values. **C** The pairwise comparison of IgG antibody titers within the
 225 two analysed age groups are shown. **D** and **E** Linear correlations between participant's age
 226 and SARS-CoV-2 specific antibody titer after first vaccination (D) and second vaccination (E).
 227 Results < 25.6 BAU/ml as negative (red area), ≥ 25.6 BAU/ml ≤ 35.6 BAU/ml as indeterminate
 228 (orange), and > 35.6 BAU/ml were considered positive. For comparison of two groups either
 229 two-tailed parametric unpaired t-tests or paired t-test were performed. Correlation was
 230 analysed by simple linear regression. P-values < 0.05 were considered statistically significant.
 231 P-Values are depicted in the figures.

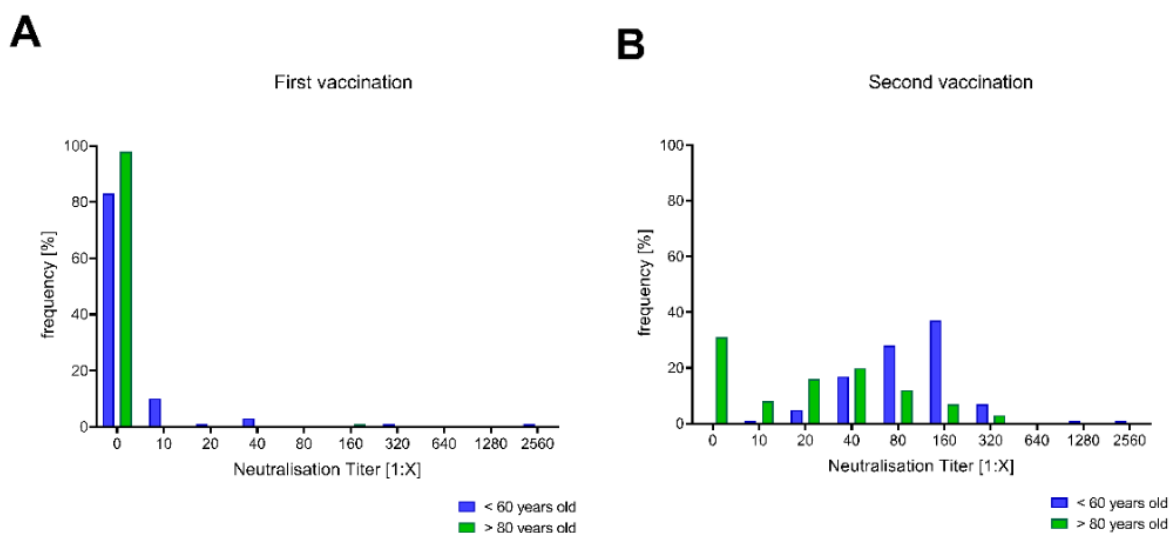
232

233 Elderly vaccinees showed reduced SARS-CoV-2 neutralizing capacity compared to
 234 younger vaccinees

235

236 We next determined the neutralization capacity in our cohort after the first and second
237 dose of vaccination. At 17–19 days after the first vaccination, the majority of
238 participants, regardless of their age, failed to display neutralizing antibody titers. In the
239 group of younger vaccines, 16.1 % displayed neutralizing antibodies with titers ranging
240 between 1:10 to 1:2560. In the group of elderly vaccinees, only 1.2 % had developed
241 neutralizing antibodies after the first vaccination (Figure 2A).

242 After the second dose, a neutralization titer was attained by 97.8% of the younger
243 vaccinees. In the elderly group, 68.7% showed titers ranging from 1:10 to 1:320.
244 Remarkably, in 31.3% of the elderly vaccinees neutralizing antibodies were not
245 detectable after the second vaccination, and thus, were potentially without
246 seroprotection (Figure 2B).



247

248 **Figure 2**

249 Neutralization antibody titers were determined as described in the methods section. The
250 frequencies of individuals with a certain neutralizing antibody titer after the first vaccination
251 (A) and the second vaccination (B) are shown.

252

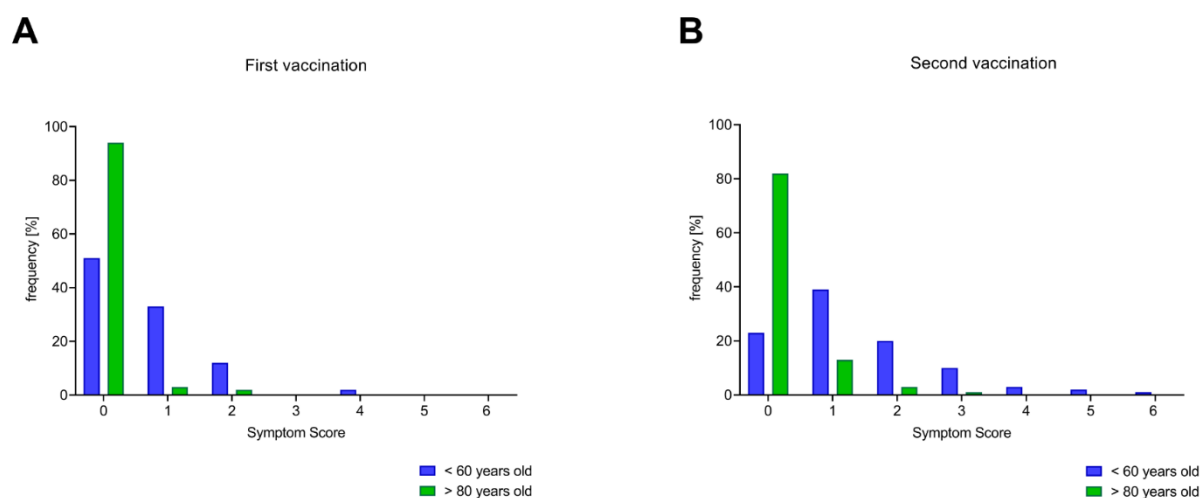
253 The severity of post-vaccination symptoms does not correlate with antibody response
254

255 To assess differences in post-vaccination symptoms between the age groups and to
256 evaluate a potential correlation with antibody titers, medical questionnaires were
257 completed at the two collection time points.

258 After the first vaccination, half of the younger cohort (51.6%) reported no symptoms,
259 the remaining vaccinees recorded post-vaccination symptoms with a score ranging

260 between 1 and 4. In turn, 93.9 % of elderly vaccinees reported no symptoms; the
261 remaining 6.1% reported either one or two of the scored symptoms (Figure 3A).

262 After the second dose, only 25.8% of the younger vaccinees had no symptoms. While
263 38.7% of this group reported only one of the scored symptoms, 35.5% reported a
264 combination of symptoms scoring between 2 and 6. Among the elderly, 83.1% reported
265 no symptoms, and the remaining 16.9% of this group reported symptoms up to a score
266 of 3 (Figure 3B). However, there was no general correlation between vaccination-
267 induced SARS-CoV-2 spike specific IgG production and the presence or absence of
268 individual symptom reports.



269

270 **Figure 3**

271 Symptom scores after first (A) and second (B) vaccination were determined as the sum of
272 cumulative side effects using to the predefined categories (see method section).

273

274 **Discussion**

275

276 The SARS-CoV-2 pandemic has led to the development of various vaccines and
277 vaccine strategies, which have been made available to the public by either emergency
278 use designation or conditional marketing authorization. Inevitably, data on populations
279 that are difficult to enroll including immunocompromised or cohorts <16 years or >80
280 years who might show reduced vaccine reactivity are limited. The main goal of this
281 real-life study was to investigate the efficacy of the current vaccination strategy in the
282 most vulnerable group of vaccinees (>80 years old) compared to those younger than
283 60 years. We compared the induction of immune responses in these two age groups
284 after the first and second BNT162b2 COVID-19 vaccination by measuring vaccine-

285 induced SARS-CoV-2 spike specific IgG and SARS-CoV-2 neutralizing antibodies.
286 While the majority of both young and elderly vaccinees raised IgG responses after their
287 second vaccination, the induction of ELISA-IgG and in particular neutralizing antibody
288 levels were significantly lower in the elderly vaccinees.

289 The main differences between the two groups of vaccinated individuals are likely a
290 consequence of immunosenescence, which describes the phenomenon of reduced
291 adaptive immune responses e.g. antibody responses in the elderly [23]. It is well
292 described that elderly individuals not only have higher rates of morbidity due to
293 infection but also respond less to vaccination [24-26], mainly due to a decline in cellular
294 as well as humoral immunity.

295 The notion that humoral vaccination responses are impaired with increasing age is well
296 depicted in our cohort, as the mean titer of SARS-CoV-2 spike specific IgG remains
297 2.8-fold lower after the second vaccination for the elderly group of vaccinees compared
298 to the younger cohort (Figure 1B). Additionally, a general intra- and inter-group trend
299 in negative correlation between age and IgG titer is visible after both vaccinations
300 (Figure 1C/1D). More importantly, a similar age-dependent trend can be seen for
301 SARS-CoV-2 specific neutralizing antibody titers: While neutralization antibody titers
302 were attained by 97.8% of the younger vaccinees, 31.3% of the elderly remained
303 without neutralization antibody titers after the second vaccination (Figure 2B).

304 The lack of neutralizing antibody responses in about one-third of the elderly group
305 raises the questions whether the effectiveness of vaccine-induced immune protection
306 may be transferred to this population without explicit testing. Especially since
307 neutralizing, antibody levels correlate with protection against many viruses including
308 SARS-CoV-2 in humans [27, 28] and recent data suggest that high neutralizing titers
309 are particularly important for protection against novel circulating SARS-CoV-2 variants
310 conferring immune escape [29-31].

311 Although it is well known that the response to primary vaccination is weaker in the
312 elderly [24, 32], it is remarkable that this observation also expands to the younger
313 cohort. While there are reports that high neutralization titers were attained after
314 receiving both doses of the Biontech/Pfizer Comirnaty (BNT162b2) vaccinations [18,
315 33, 34], which is in line with our data for the younger cohort, there is a lack of
316 information on the antibody responses after the first vaccination. The latter gains
317 particular importance since different vaccination schedules for the same vaccines have

318 been adopted in several countries. These include a delay of the second vaccination,
319 as implemented by the UK or Israel, to allow for the initial primary vaccination to a
320 larger proportion of the population, a strategy which is controversially discussed [35,
321 36]. The observation that single-dose vaccinees broadly lacked neutralizing antibody
322 responses quickly raises the question, whether these individuals might still acquire
323 infections and may transmit the disease while remaining asymptomatic. This
324 assumption is supported by recent results of a large Israeli study which reports a 46%
325 effectiveness in preventing a documented infection 14 to 20 days after the first dose,
326 the BNT162b2 vaccine [37].

327 While this study was in progress, the first promising reports on the experience with
328 COVID vaccination came from Israel and Scotland [38]. It appears that even after the
329 first SARS-CoV-2 vaccination, a significant decrease in hospitalizations is seen in the
330 overall vaccinated population but also the >80 year old group. However, it is not yet
331 clear how long this protective effect of vaccination lasts. Our data presented here
332 suggests that it might be necessary to define strategies to overcome age-related
333 limitations for COVID-19 vaccination. Moderna has recently demonstrated an
334 increased immune response determined by higher binding and neutralizing antibody
335 titers by increasing the dose of the second vaccination from 25 μ l to 100 μ l [39].
336 Strategies to enhance immunogenicity such as the use of adjuvants, application of
337 increased amounts or multiple doses of the same vaccine, or the combination of
338 different vaccines for a heterologous prime/boost should be rapidly tested and
339 implemented in COVID-19 vaccination protocols. Furthermore, since the majority of
340 vaccinees did not obtain neutralizing antibody titers after the first vaccination, we
341 suggest that postponing a second vaccination with this vaccine is neither advisable for
342 younger nor elderly populations.

343 This study provides insight into age-dependent limitations of immune responses
344 elicited after the first and second dose of the BNT162b2 vaccine. By comparing similar-
345 sized cohorts of vaccinees aged < 60 years and > 80 years, we found that more than
346 30% of elderly vaccinees did not attain neutralizing antibody responses after their
347 second vaccination. Nevertheless, recent studies show that even after the first
348 vaccination, severe courses of COVID-19 are attenuated. The elderly population is
349 prioritized by many vaccination schedules, despite the fact that this age group is
350 underrepresented in previous studies, and hence, there is still a lack of data concerning

351 the induced immune response after both, first and second vaccination in this
352 population.

353

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368

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371

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373 Investigation: LM, MA, WM, ID, LW, RG, JP, JH, AR, DR, OA, HS, Writing – original
374 draft preparation: HS, OA, MA, LM, Writing – review and editing: LM, MA, WM, ID,
375 LW, RG, JP, JH, AR, DR, PNO, RR, SH, AW, CM, RG, JT, OA, HS, Supervision: HS,
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377

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